

09/27/00

09-29-00

A

09/27/00
JC675
U.S. PTO
09/27/00

Customer No. 20350
TOWNSEND and TOWNSEND and CREW LLP
2000 Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200

Attorney Docket No. 19748-000110US

"Express Mail" Label No. EL515922296US

Date of Deposit: September 27, 2000

ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is the

- ☒ [X] patent application of
- ☐ [] continuation patent application of
- ☐ [] divisional patent application of
- ☐ [] continuation-in-part patent application of

Inventor(s)/Applicant Identifier: JIVENDRA K. KALE et al.

For: INVESTMENT PORTFOLIO CONSTRUCTION METHOD AND SYSTEM

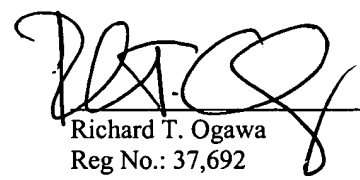
- ☒ [X] This application claims priority from each of the following Application Nos./filing dates:
60/156,271 Filed September 27, 2000
the disclosure(s) of which is (are) incorporated by reference.
- ☐ [] Please amend this application by adding the following before the first sentence: "This application is a ☐ [] continuation ☐ [] continuation-in-part of and claims the benefit of U.S. Provisional Application No. 60/_____, filed _____, the disclosure of which is incorporated by reference."

Enclosed are:

- ☒ [X] 13 page(s) of specification
- ☒ [X] 6 page(s) of claims
- ☒ [X] 1 page of Abstract
- ☒ [X] 5 sheet(s) of informal drawing(s).
- ☐ [] An assignment of the invention to _____
- ☒ [X] A ☐ [] signed ☒ [X] unsigned Declaration & Power of Attorney
- ☐ [] A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 ☐ [] is enclosed ☐ [] was filed in the prior application and small entity status is still proper and desired.
- ☐ [] A certified copy of a _____ application.
- ☐ [] Information Disclosure Statement under 37 CFR 1.97.
- ☐ [] A petition to extend time to respond in the parent application.
- ☐ [] Notification of change of ☐ [] power of attorney ☐ [] correspondence address filed in prior application.

In view of the Unsigned Declaration as filed with this application and pursuant to 37 CFR §1.53(f),
Applicant requests deferral of the filing fee until submission of the Missing Parts of Application.

DO NOT CHARGE THE FILING FEE AT THIS TIME.



Richard T. Ogawa
Reg No.: 37,692
Attorneys for Applicant

Telephone:
(650) 326-2400

Facsimile:
(650) 326-2422